

Convergent Wealth Management, LLC Privacy Policy

Our clients' privacy and safeguarding their identities and information is a very important responsibility that Convergent Wealth Management, LLC ["Convergent", "CWM"] takes seriously. We want you to understand our policies for collecting, using, securing and sharing nonpublic personal information about you ("Information").

Our Privacy Principles

- Upon request, we will describe what Information we collect, how we protect it, and what we may do with it.
- We will inform our customers of our new Privacy Policy whenever it changes.
- We will keep your Information confidential. We will not disclose it to outside companies without your authorization, except as required by law.
- We will not sell customer information.
- We will require any person or organization providing products or services to customers on our behalf to adhere to our Privacy Policy standards.

Why We Collect and Use Information

We collect and use Information we believe is necessary to administer our business and to provide you with the highest level of service. Such service includes administering your accounts with us, processing transactions requested by you, and advising you about the products and services we offer.

How We Gather Information

We may collect identification and contact information, as well as transaction and experience information from:

- Account forms, applications or other forms containing your name, address, telephone number, social security number, employment information, income and assets.
- Your transactions history or experiences with us.

How We Protect Information

Our employees are required to protect the confidentiality of Information and to comply with our established policies. They may access Information only when there is an appropriate reason to do so, such as to administer your account or offer our products or services. We also maintain physical, electronic and procedural safeguards to protect Information. Employees who violate our Privacy Policy will be subject to disciplinary action. . If a client decides to close his or her account[s] with CWM, or becomes an inactive client, Convergent will continue to adhere to this privacy policy and practices with respect to that client as described in this notice.