

Orange County Five Star Wealth Manager seen in

# The Wall Street Journal

## Wealth Management Journal Report

### Jane An and Allen A. Ah You III



Left to right: Three-year winner Allen A. Ah You III, CRPC®, Wealth Advisor, Managing Partner; two-year winner Jane An, CRPC®, Wealth Advisor, Managing Partner

### Client's Personal CFO for Success!

The team at Monolithe Wealth Planning Group focuses on building the kind of deep partnerships needed to meet clients' financial goals. Through strategic investments and comprehensive wealth planning, they take a big-picture approach to helping clients reach financial success.



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Featured in  
"Ten Five Star  
Wealth Managers  
You Need to Know"

**FIVE STAR**  
**WEALTH MANAGER**

The logo for Five Star Wealth Manager. It features a stylized graphic of a red and white mountain peak or a stylized 'A' shape above the text 'FIVE STAR' in a large, bold, sans-serif font, with 'WEALTH MANAGER' in a smaller, bold, sans-serif font below it.

The Five Star Wealth Manager award, administered by Crescendo Business Services, LLC (dba Five Star Professional), is based on 10 objective criteria. Eligibility criteria – required: 1. Credentialed as a registered investment adviser or a registered investment adviser representative; 2. Active as a credentialed professional in the financial services industry for a minimum of 5 years; 3. Favorable regulatory and complaint history review (As defined by Five Star Professional, the wealth manager has not: A. Been subject to a regulatory action that resulted in a license being suspended or revoked, or payment of a fine; B. Had more than a total of three customer complaints filed against them [settled or pending] with any regulatory authority or Five Star Professional's consumer complaint process. Unfavorable feedback may have been discovered through a check of complaints registered with a regulatory authority or complaints registered through Five Star Professional's consumer complaint process; Feedback may not be representative of any one client's experience. C. Individually contributed to a financial settlement of a customer complaint filed with a regulatory authority; D. Filed for personal bankruptcy; E. Been convicted of a felony); 4. Fulfilled their firm review based on internal standards; 5. Accepting new clients. Evaluation criteria – Considered: 6. One-year client retention rate; 7. Five-year client retention rate; 8. Non-institutional discretionary and/or non-discretionary client assets administered; 9. Number of client households served; 10. Education and professional designations. Wealth managers do not pay a fee to be considered or placed on the final list of Five Star Wealth Managers. Award does not evaluate quality of services provided to clients. Once awarded, wealth managers may purchase additional profile ad space or promotional products. The Five Star award is not indicative of the wealth manager's future performance. Wealth managers may or may not use discretion in their practice and therefore may not manage their client's assets. The inclusion of a wealth manager on the Five Star Wealth Manager list should not be construed as an endorsement of the wealth manager by Five Star Professional or this publication. Working with a Five Star Wealth Manager or any wealth manager is no guarantee as to future investment success, nor is there any guarantee that the selected wealth managers will be awarded this accomplishment by Five Star Professional in the future. For more information on the Five Star award and the research/selection methodology, go to [fivestarprofessional.com](http://fivestarprofessional.com). 2,010 award candidates in the Orange County area were considered for the Five Star Wealth Manager award. 351 (approximately 18 percent of the award candidates) were named 2015 Five Star Wealth Managers.