



TRADITION WEALTH MANAGEMENT

PRIVACY POLICY

HOW WE USE AND PROTECT PERSONAL INFORMATION

Tradition Wealth Management, LLC (“Tradition Wealth Management,” “TWM,” “we,” or “us”) is committed to maintaining the trust and confidence of our clients. We have developed our own privacy policy to reinforce our commitment and want you to understand how we protect your privacy when we collect and use your nonpublic personal information in the course of business.

COLLECTING YOUR INFORMATION

We obtain information, much of which comes directly from you, as we deem appropriate, to provide you with products and services you have requested, and, as we deem appropriate, to determine your eligibility for products or services. In order to conduct our analysis, we collect and compile financial information (*including tax returns, investment statements, etc.*) and other related documents that are part of our planning process. We may collect transaction and investment experience from applications. Furthermore, we may collect identification and contact information, such as your name, Social Security number, address, telephone number, and email address.

SAFEGUARDING YOUR INFORMATION

We utilize in-house and third-party physical, electronic, and procedural safeguards that meet or exceed federal standards to guard your personal information.

DISCLOSING YOUR INFORMATION

We will not disclose your personal information to entities not affiliated with us unless it is to entities (*such as insurance companies and brokers, banks, broker/dealers, and investment advisors*) that provide services for you as a course of our planned business relationship.

DISCLOSURES PERMITTED BY LAW

We may also disclose all of the information we collect as permitted or required by law. For example, we may disclose information to law enforcement agencies or insurance and securities regulatory agencies.

HOW YOU CAN HELP

You can help maintain the privacy of your information by ensuring the confidentiality of your account authentication credentials (*user names, passwords, tokens, etc.*) and by restricting access to your devices that are capable of accessing your accounts. Keep in mind that if you provide authentication credentials to another party, any account or transaction activity by that party, or others who may receive your credentials due to your sharing, may be deemed authorized activity.

Please notify us immediately if you believe an unauthorized party has gained access to your information.

OFFER OF FORM ADV

Please contact us for the most recent Form ADV Part 2A and 2B.

As a reminder, please contact your advisor if your investment objective or financial situation changes so that your profile can be evaluated and adjusted accordingly.

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